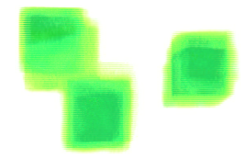




# Surface diffusion on stepped surfaces

*Axel Voigt*

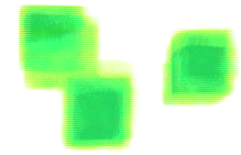
*based on joint work with Eberhard Bänsch, Frank Haußer, Omar Lakkis,  
Bo Li, Felix Otto, Patrick Penzler, Andreas Rätz, Tobias Rump*



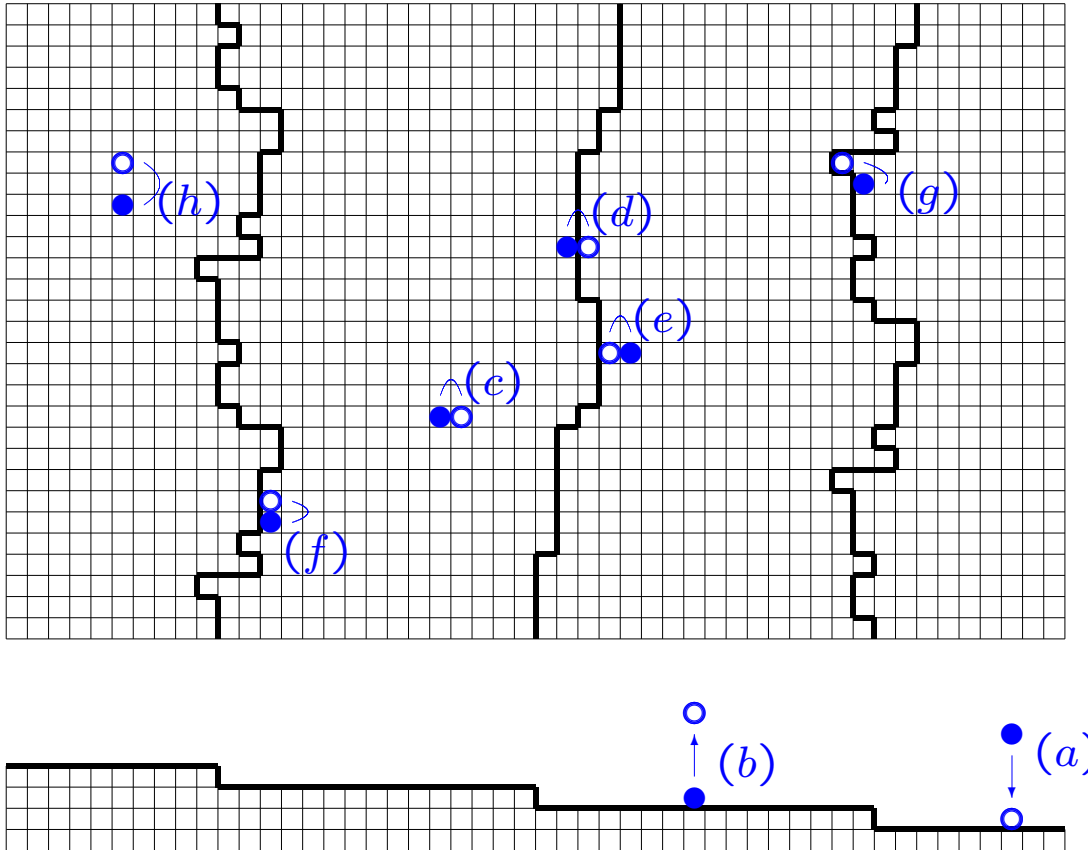
## Stepped surfaces are common in epitaxial growth



STM image of Si(110) steps on a Si(001) vicinal face, [Lagally et al. 1993]

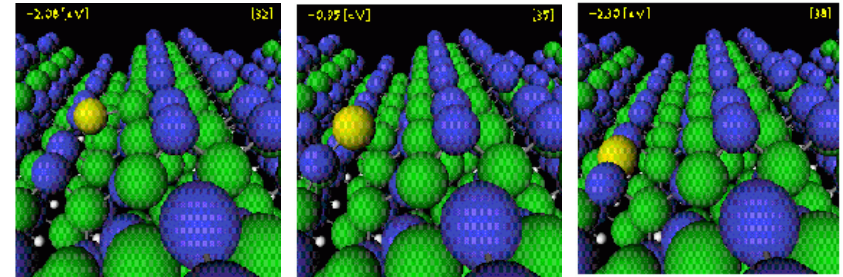


## Simplified atomic picture



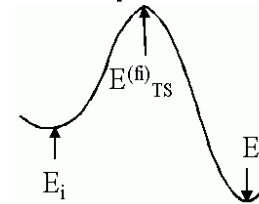
## Molecular dynamics

identify single events



## Transition State Theory

compute energy barriers

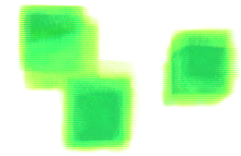


compute probabilities

## Kinetic Monte Carlo

perform simulation

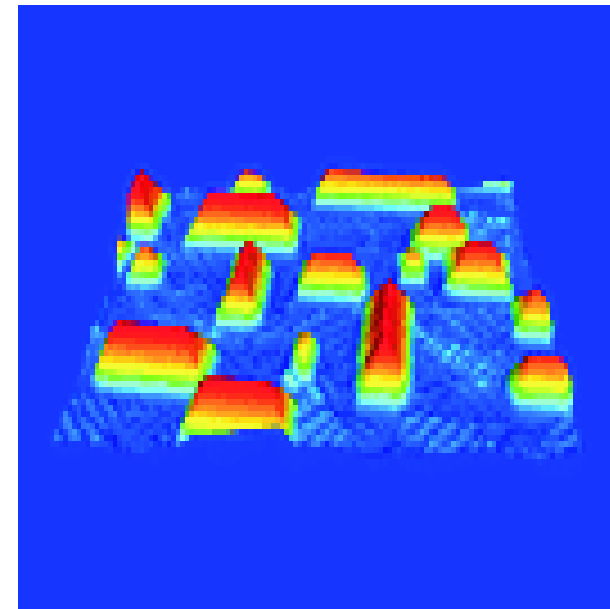
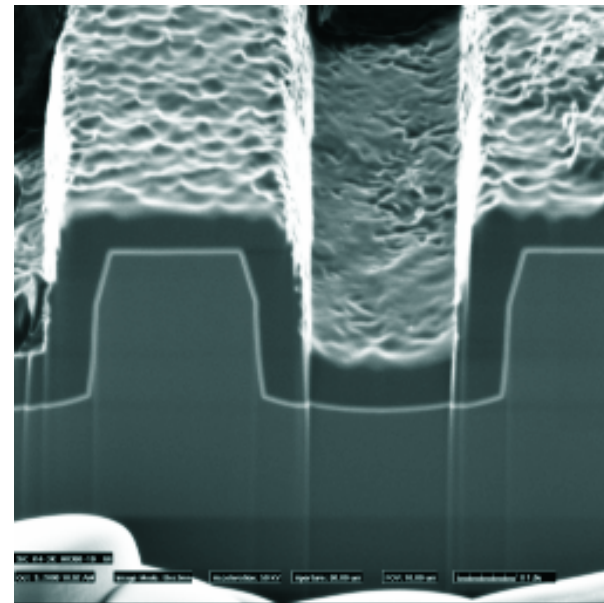
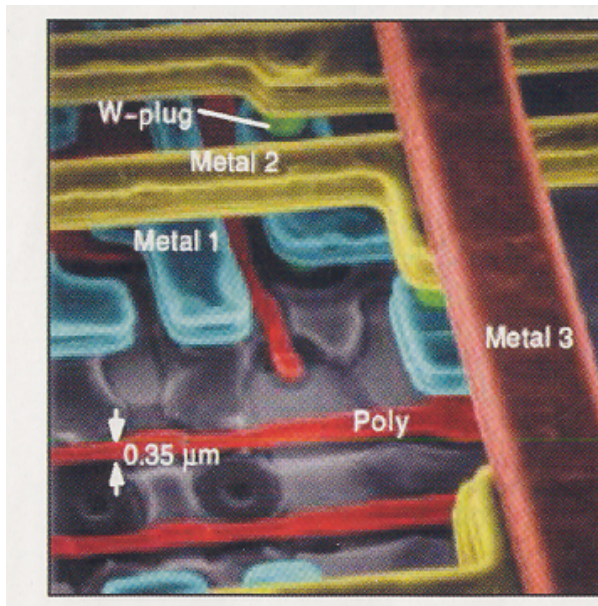




## Limitations of KMC

time scale:  $< \mu s$ , length scale:  $< nm$

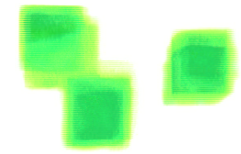
Applications: Nanoelectronic, Photonic, LEDs, ...



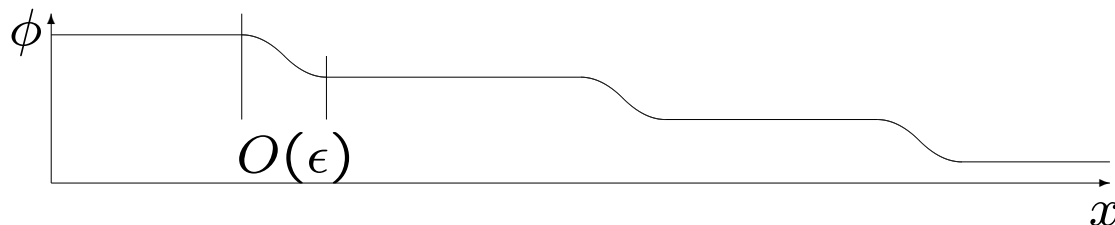
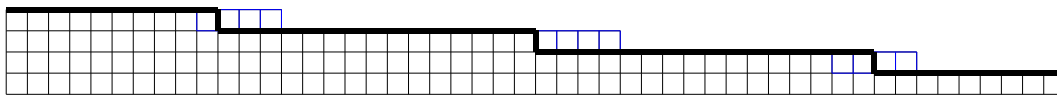
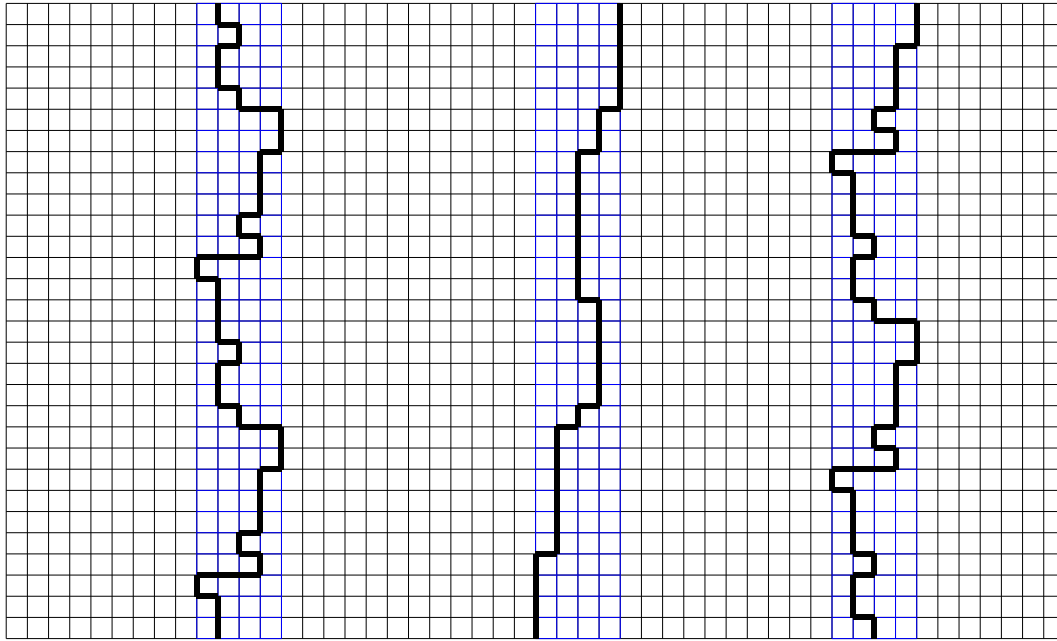
metal connects,  
(F.H. Baumann, Bell Labs),

trench-MOS structure,  
(O. Hellmund, RWTH Aachen),

Quantum-dots  
(B. Voigtländer, FZ Jülich)



## Towards a continuum description



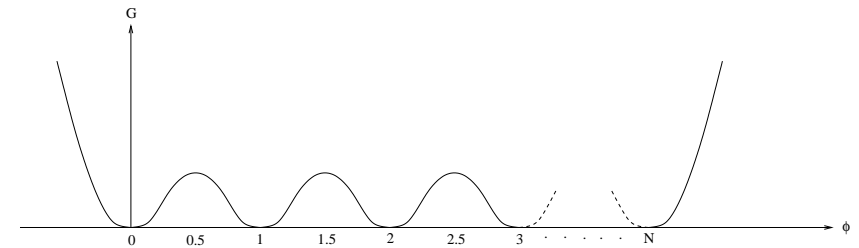
### Diffuse interface

approximate rough steps

### Multiwell potential

minimum at terraces  $i = 0, \dots, N$

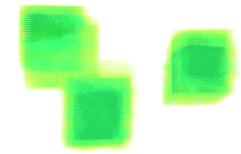
$$G(\phi) = (\phi - i)^2(i + 1 - \phi)^2$$



### Ginzburg-Landau free energy

$\rho$  adatom density,  $\phi$  height function

$$E = \int_{\Omega} \frac{\epsilon^2}{2} |\nabla \phi|^2 + G(\phi) - f(\rho, \phi)$$



## Phase-field model

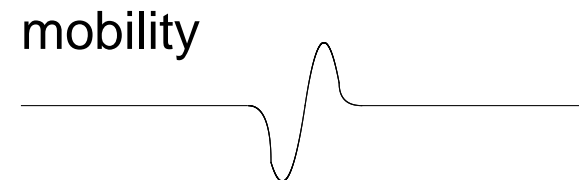
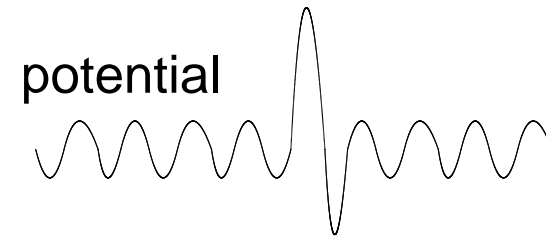
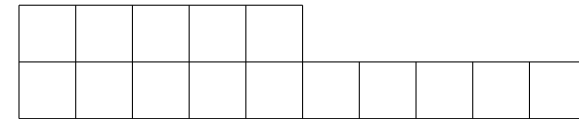
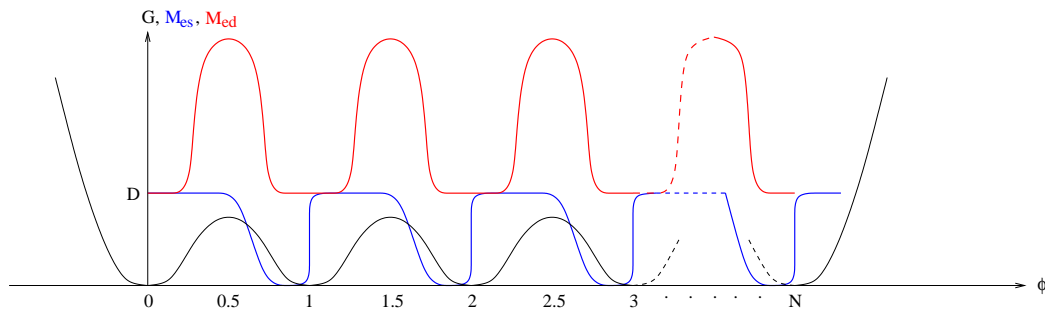
evolution equation  $\partial_t \phi = -\frac{\delta E}{\delta \phi}$

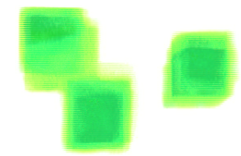
$$\alpha \epsilon^2 \partial_t \phi = \epsilon^2 \Delta \phi - G'(\phi) + \frac{\epsilon}{\rho^* \mu} (\rho - \rho^*)$$

$$\partial_t \rho = \nabla \cdot (D \nabla \rho) + F - \tau^{-1} \rho - \partial_t \phi$$

$\rho^*$  equilibrium density,  $\mu$  step stiffness

define **mobility function**  $D$  to account for effects at steps





## Asymptotic limit $\epsilon \rightarrow 0$ , **Burton-Cabrera-Frank** model

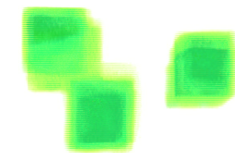
$$\begin{aligned}\alpha\epsilon^2\partial_t\phi &= \epsilon^2\Delta\phi - G'(\phi) + \frac{\epsilon}{\rho^*\mu}(\rho - \rho^*) \\ \partial_t\rho &= \nabla \cdot (D\nabla\rho) + F - \tau^{-1}\rho - \partial_t\phi\end{aligned}$$

- diffusion limited,  $\epsilon \rightarrow 0$ ,  $\alpha \rightarrow 0$ ,  $D = D$ ,

$$\begin{aligned}\partial_t\rho_i - \nabla \cdot (D\nabla\rho_i) &= F - \tau^{-1}\rho_i \\ \rho_i = \rho_{i-1} &= \rho^*(1 - \mu\kappa_i) \\ v_i &= [D\nabla\rho_i \cdot n_i] \quad \text{[Rätz,Voigt 2004]}\end{aligned}$$

- diffusion limited growth with edge-diffusion,  $\epsilon \rightarrow 0$ ,  $\alpha \rightarrow 0$ ,  $D = M_{ed}$ ,

$$\begin{aligned}\partial_t\rho_i - \nabla \cdot (D\nabla\rho_i) &= F - \tau^{-1}\rho_i \\ \rho_i = \rho_{i-1} &= \rho^*(1 - \mu\kappa_i) \\ v_i &= [D\nabla\rho_i \cdot n_i] + \nu\partial_{ss}\kappa_i \quad \text{[Rätz,Voigt 2004]}\end{aligned}$$



## Asymptotic limit $\epsilon \rightarrow 0$ , **Burton-Cabrera-Frank** model

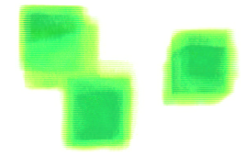
$$\begin{aligned}\alpha\epsilon^2\partial_t\phi &= \epsilon^2\Delta\phi - G'(\phi) + \frac{\epsilon}{\rho^*\mu}(\rho - \rho^*) \\ \partial_t\rho &= \nabla \cdot (D\nabla\rho) + F - \tau^{-1}\rho - \partial_t\phi\end{aligned}$$

- attachment limited,  $\epsilon \rightarrow 0$ ,  $D = M_{es}$

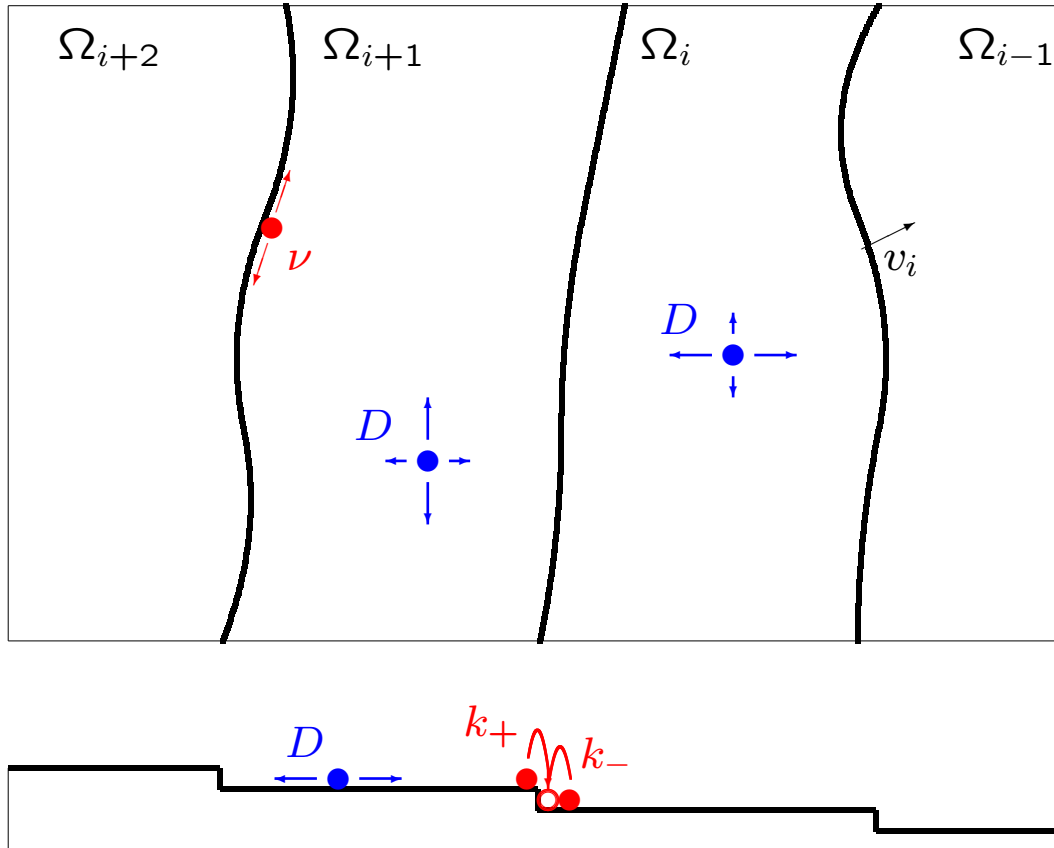
$$\begin{aligned}\partial_t\rho_i - \nabla \cdot (D\nabla\rho_i) &= F - \tau^{-1}\rho_i \\ -D\nabla\rho_i \cdot n_i - v_i\rho_i &= k_+(\rho_i - \rho^*(1 - \mu\kappa_i)) \\ D\nabla\rho_{i-1} \cdot n_i - v_i\rho_{i-1} &= k_-(\rho_{i-1} - \rho^*(1 - \mu\kappa_i)) \\ v_i &= [D\nabla\rho_i \cdot n_i] + [\rho_i]v_i\end{aligned}$$

[Otto,Penzler,Rätz,Rump,Voigt 2004; Rätz,Voigt 2004]





## Sharp interface step flow model



### Free boundary problem

$$\partial_t \rho_i = \nabla \cdot (D \nabla \rho_i) + F - \tau^{-1} \rho_i$$

### diffusion limited

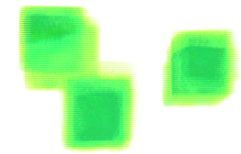
$$\rho_i = \rho_{i-1} = \rho^* (1 + \mu \kappa_i)$$

### attachment limited

$$\begin{aligned} q_i^+ &::= -D \nabla \rho_i \cdot n_i - v_i \rho_i \\ &= k_+ (\rho_i - \rho^* (1 + \mu \kappa_i)) \end{aligned}$$

$$\begin{aligned} q_i^- &::= D \nabla \rho_{i-1} \cdot n_i + v_i \rho_{i-1} \\ &= k_- (\rho_{i-1} - \rho^* (1 + \mu \kappa_i)) \end{aligned}$$

$$v_i = q_i^+ + q_i^- + \partial_s (\nu \partial_s (\mu \kappa_i))$$



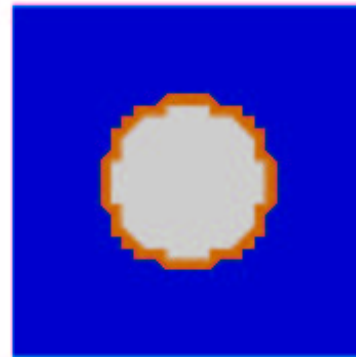
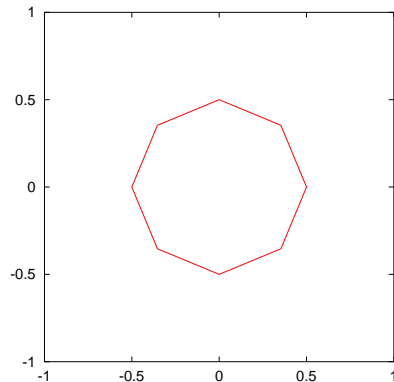
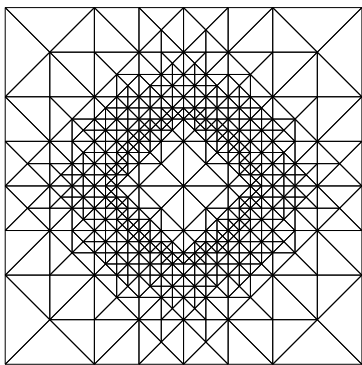
## Numerical Algorithm, Operator Splitting

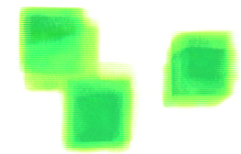
Discrete time partition:  $t_0 < t_1 < \dots < t_m < \dots$

free Boundaries  $\Gamma_i^m := \Gamma_i(t_m)$ , adatom densities  $\rho_i^m := \rho_i(t_m)$ ,

decouple adatom diffusion and boundary evolution, use independent grids

- Substep 1: Compute boundaries  $\Gamma_i^{m+1}$  using  $(\Gamma_i^m, \rho_i^m)$
- Substep 2: Compute adatom densities  $\rho_i^{m+1}$  using  $(\Gamma_i^{m+1}, \rho_i^m)$





## Adatom diffusion on terraces, diffusion limited

- One *continuous* adatom density  $\rho$  defined on whole domain  $\Omega$

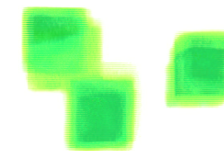
Weak formulation of diffusion equation ( $[\nabla \rho \cdot \vec{n}_i]_i := \nabla \rho_i \cdot \vec{n}_i - \nabla \rho_{i-1} \cdot \vec{n}_i$ )

$$\int_{\Omega} \partial_t \rho \phi + \int_{\Omega} D \nabla \rho \cdot \nabla \phi + \sum_{i=1}^N \int_{\Gamma_i} D [\nabla \rho \cdot \vec{n}_i]_i \phi = \int_{\Omega} F \phi - \int_{\Omega} \tau^{-1} \rho \phi.$$

- Boundary conditions at steps incorporated by penalty method ( $\epsilon \ll 1$ )

$$\int_{\Omega} \partial_t \rho \phi + \int_{\Omega} D \nabla \rho \cdot \nabla \phi + \sum_{i=1}^N \int_{\Gamma_i} \frac{1}{\epsilon} (\rho - \rho^* (1 + \mu \kappa_i)) \phi = \int_{\Omega} F \phi - \int_{\Omega} \tau^{-1} \rho \phi$$

Thus (in a weak sense)  $D [\nabla \rho \cdot \vec{n}_i]_i = \frac{1}{\epsilon} (\rho - \rho^* (1 + \mu \kappa_i))$

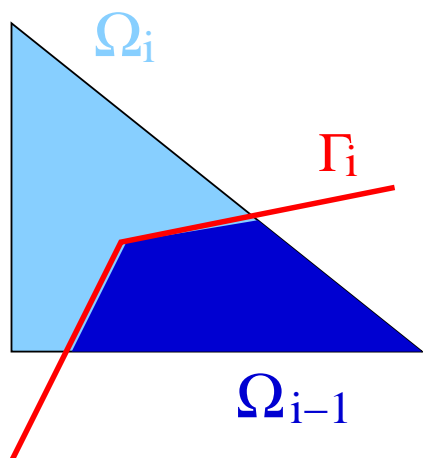


## Adatom diffusion on terraces, attachment limited

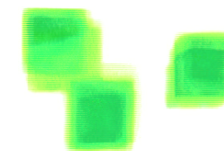
- Adatom densities  $\rho_i \neq \rho_{i-1}$  at  $\Gamma_i$ , i.e. **no** global continuous density
- need two degrees of freedom at steps

Strategy: **Composite Finite Elements**, Extend each  $\rho_i$  trivially to the whole domain:

$$\left( \rho_i(x), D_i(x), F_i(x), \tau_i^{-1}(x) \right) = \begin{cases} (\rho_i(x), D, F, \tau^{-1}) & : x \in \bar{\Omega}_i \\ (0, 0, 0, 0) & : x \in \Omega \setminus \Omega_i \end{cases}$$



- $N$  diffusion equations on whole domain.
- at each boundary  $\Gamma_i$  we have a value for  $\rho_i$  and  $\rho_{i-1}$



## Weak formulation, attachment limited

$$\int_{\Omega_i} \partial_t \rho_i \phi + \int_{\Omega_i} D \nabla \rho_i \cdot \nabla \phi - \int_{\Gamma_i} D \nabla \rho_i \cdot \vec{n}_i \phi + \int_{\Gamma_{i+1}} D \nabla \rho_i \cdot \vec{n}_{i+1} \phi + \int_{\Omega_i} \tau^{-1} \rho_i \phi = \int_{\Omega_i} F \phi$$

Using

$$\frac{d}{dt} \int_{\Omega_i(t)} \rho_i = \int_{\Omega_i(t)} \partial_t \rho_i + \int_{\Gamma_i(t)} \rho_i v_i - \int_{\Gamma_{i+1}(t)} \rho_i v_i$$

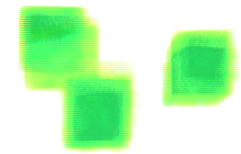
and kinetic boundary conditions

$$\begin{aligned} -D \nabla \rho_i \cdot \vec{n}_i - \rho_i v_i &= k_+ (\rho_i - \rho^* (1 + \mu \kappa_i)) && \text{on } \Gamma_i \\ D \nabla \rho_i \cdot \vec{n}_{i+1} + \rho_i v_{i+1} &= k_- (\rho_i - \rho^* (1 + \mu \kappa_{i+1})) && \text{on } \Gamma_{i+1} \end{aligned}$$

yields

$$\begin{aligned} \frac{d}{dt} \int_{\Omega_i(t)} \rho_i \phi + \int_{\Omega_i(t)} D \nabla \rho_i \cdot \nabla \phi + \int_{\Omega_i(t)} \tau^{-1} \rho_i \phi + \int_{\Gamma_{i+1}} k_- \rho_i \phi + \int_{\Gamma_i(t)} k_+ \rho_i \phi \\ = \int_{\Omega_i(t)} F \phi + \int_{\Gamma_{i+1}} k_- \rho^* (1 + \mu \kappa_{i+1}) - \int_{\Gamma_i(t)} k_+ \rho^* (1 + \mu \kappa_i) \end{aligned}$$





## Discretization, kinetic b.c.

$$\text{Time discretization: } \frac{d}{dt} \int_{\Omega_i(t)} \rho_i \phi \longrightarrow \frac{1}{t_{m+1} - t_m} \left[ \int_{\Omega_i^{m+1}} \rho_i^{m+1} \phi - \int_{\Omega_i^m} \rho_i^m \phi \right]$$

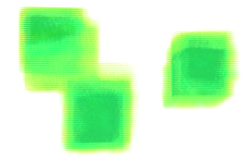
Using extended variables

$$\left( \rho_i(x), D_i(x), F_i(x), \tau_i^{-1}(x) \right) = \begin{cases} (\rho_i(x), D, F, \tau^{-1}) & : x \in \Omega_i \\ (0, 0, 0, 0) & : x \in \Omega \setminus \Omega_i \end{cases}$$

First order implicit in time, finite element method in space

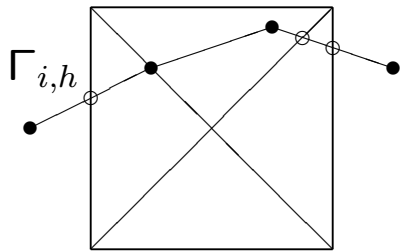
$$\begin{aligned} \int_{\Omega} \frac{\rho_{i,h}^{m+1} - \rho_{i,h}^m}{t_{m+1} - t_m} \phi + \int_{\Omega} D_i \nabla \rho_{i,h}^{m+1} \cdot \nabla \phi + \int_{\Omega} \tau_i^{-1} \rho_{i,h}^{m+1} \phi + \int_{\Gamma_{i+1}} k_- \rho_{i,h}^{m+1} \phi \\ + \int_{\Gamma_i} k_+ \rho_{i,h}^{m+1} \phi = \int_{\Omega} F_i \phi + \int_{\Gamma_{i+1}} k_- \rho^* (1 + \mu \kappa_{i+1}) - \int_{\Gamma_i} k_+ \rho^* (1 + \mu \kappa_i) \end{aligned}$$

**All integrals over whole domain  $\Omega$  !**



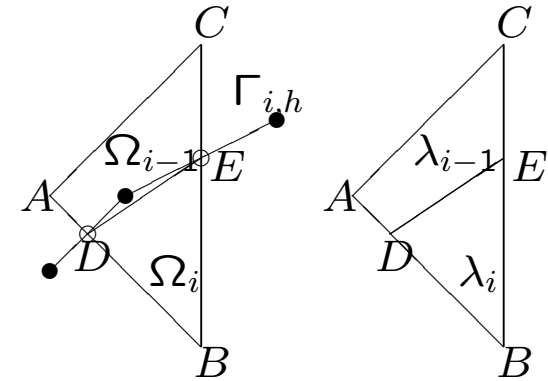
# Integration routines

## line integration

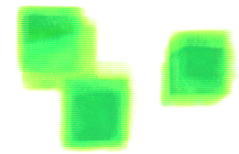


## discontinuous coefficients $\int \phi \lambda$

$$\lambda = \begin{cases} \lambda_{i-1} & \text{in } T \cap \Omega_{i-1} \\ \lambda_i & \text{in } T \cap \Omega_i \end{cases}$$



$$\begin{aligned} \int_T \lambda \phi &\approx \int_{\Delta(DBE)} \lambda_i \phi + \int_{\square(ADEC)} \lambda_{i-1} \phi \\ &= \int_{\Delta(DBE)} \lambda_i \phi + \int_T \lambda_{i-1} \phi - \int_{\Delta(DBE)} \lambda_{i-1} \phi. \end{aligned}$$



## Free boundary evolution

nonlinear 4th order **geometric evolution law**

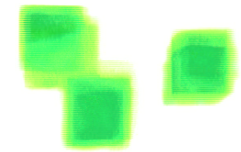
$$v_i = \underbrace{-D\nabla\rho_i \cdot \vec{n}_i - \rho_i v_i + D\nabla\rho_{i-1} \cdot \vec{n}_i + \rho_{i-1} v_i}_{\text{mass conservation}} + \underbrace{\partial_s(\nu\partial_s(\mu\kappa_i))}_{\text{edge diffusion}},$$

- diffusion limited: use  $D[\nabla\rho \cdot \vec{n}_i]_i = \frac{1}{\epsilon}(\rho - \rho^*(1 + \mu\kappa_i))$  (from penalty method)

$$v_i = \frac{1}{\epsilon}(\rho - \rho^*) - \frac{1}{\epsilon}\rho^*\mu\kappa_i + \partial_s(\nu\partial_s(\mu\kappa_i)).$$

- attachment limited: use  $-D\nabla\rho_i \cdot \vec{n}_i - v_i\rho_i = k_+(\rho_i - \rho^*(1 - \mu\kappa_i))$   
 $D\nabla\rho_{i-1} \cdot \vec{n}_i + v_i\rho_{i-1} = k_-(\rho_{i-1} - \rho^*(1 - \mu\kappa_i))$

$$v_i = k_+(\rho_i - \rho^*) + k_-(\rho_{i-1} - \rho^*) - (k_+ + k_-)\rho^*\mu\kappa_i + \partial_s(\nu\partial_s(\mu\kappa_i)).$$



## Free boundary evolution

nonlinear 4th order geometric evolution law

$$v_i = f_i - \beta \mu \kappa_i + \partial_s(\nu \partial_s(\mu \kappa_i))$$

parametric finite elements, for MCF [Dziuk 1991] for SD [Bänsch, Morin, Nochetto 2002]

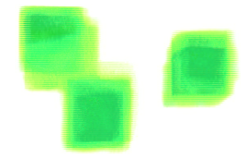
write 4<sup>th</sup> order PDE as 2<sup>nd</sup> order system: position vector  $\vec{x}_i$ , curvature vector  $\vec{\kappa}_i = \kappa \vec{n}_i$ , velocity vector  $\vec{v}_i = v_i \vec{n}_i$ , geometric identity  $\vec{\kappa}_i = -\partial_{ss} \vec{x}_i$

$$\vec{\kappa}_i = -\partial_{ss} \vec{x}_i$$

$$\mu \kappa_i = \mu \vec{\kappa}_i \cdot \vec{n}_i$$

$$v_i = f_i - \beta \mu \kappa_i + \partial_s(\nu \partial_s(\mu \kappa_i))$$

$$\vec{v}_i = v_i \vec{n}_i$$



## Time discretization

$$\text{free boundary } \Gamma_i^{m+1}: \vec{x}_i^{m+1} = \vec{x}_i^m + \Delta t_m \vec{v}_i^{m+1}$$

$$\vec{\kappa}_i = -\partial_{ss}(\vec{x}_i + \Delta t_m \vec{v}_i)$$

$$\mu \kappa_i = \mu \vec{\kappa}_i \cdot \vec{n}_i$$

$$v_i = f_i - \beta \mu \kappa_i + \partial_s(\nu \partial_s(\mu \kappa_i))$$

$$\vec{v}_i = v_i \vec{n}_i$$

geometric quantities  $\vec{n}_i$ ,  $\partial_s$  explicit, unknowns  $\vec{\kappa}_i$ ,  $\mu \kappa_i$ ,  $v_i$ ,  $\vec{v}_i$  implicit

Variational formulation  $\int \partial_{ss} u v = - \int \partial_s u \partial_s v$

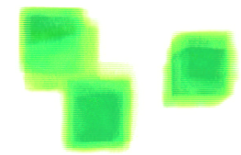
$$\langle \vec{\kappa}_i, \vec{\psi} \rangle - \Delta t_m \langle \partial_s \vec{v}_i, \partial_s \vec{\psi} \rangle = \langle \partial_s \vec{x}_i, \partial_s \vec{\psi} \rangle$$

$$\langle \mu \kappa_i, \psi \rangle - \langle \mu \vec{\kappa}_i \cdot \vec{n}_i, \psi \rangle = 0$$

$$\langle v_i, \psi \rangle + \langle \nu \partial_s(\mu \kappa_i), \partial_s \psi \rangle + \langle \beta \mu \kappa_i, \psi \rangle = \langle f_i, \psi \rangle$$

$$\langle \vec{v}_i, \vec{\psi} \rangle - \langle v_i \vec{n}_i, \vec{\psi} \rangle = 0$$





## Finite element formulation and linear system

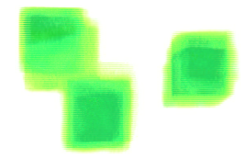
nodal bases  $(\psi_k)$  and  $(\vec{\psi}_k^q)$

$$\begin{pmatrix} \vec{M} & \mathbf{0} & \mathbf{0} & -\vec{N} \\ \mathbf{0} & M & -\vec{N}_\mu^t & \mathbf{0} \\ -\Delta t_m \vec{A} & \mathbf{0} & \vec{M} & \mathbf{0} \\ \mathbf{0} & A_\nu + M_\beta & \mathbf{0} & M \end{pmatrix} \begin{pmatrix} \vec{V}_i \\ \mu K_i \\ \vec{K}_i \\ V_i \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ \vec{A} \vec{X}_i \\ F \end{pmatrix}$$

Schur complement equation for  $\vec{K}_i, V_i$  reads

$$S \begin{pmatrix} \vec{K}_i \\ V_i \end{pmatrix} = \begin{pmatrix} \vec{A} \vec{X}_i \\ F \end{pmatrix},$$

$$\begin{aligned} S &= \begin{pmatrix} \vec{M} & \mathbf{0} \\ \mathbf{0} & M \end{pmatrix} - \begin{pmatrix} -\Delta t_m \vec{A} & \mathbf{0} \\ \mathbf{0} & A_\nu + M_\beta \end{pmatrix} \begin{pmatrix} \vec{M} & \mathbf{0} \\ \mathbf{0} & M \end{pmatrix}^{-1} \begin{pmatrix} \mathbf{0} & -\vec{N} \\ -\vec{N}_\mu^t & \mathbf{0} \end{pmatrix} \\ &= \begin{pmatrix} \vec{M} & -\Delta t_m \vec{A} \vec{M}^{-1} \vec{N} \\ (A_\nu + M_\beta) M^{-1} \vec{N}_\mu^t & M \end{pmatrix}. \end{aligned}$$



## Schur complement equations

- solve for  $V_i$

$$\begin{aligned} \left( \Delta t_m (\mathbf{A}_\nu + \mathbf{M}_\beta) \mathbf{M}^{-1} \vec{N}_\mu^t \vec{M}^{-1} \vec{A} \vec{M}^{-1} \vec{N} + \mathbf{M} \right) V_i \\ = F - (\mathbf{A}_\nu + \mathbf{M}_\beta) \mathbf{M}^{-1} \vec{N}_\mu^t \vec{M}^{-1} \vec{A} \vec{X}_i. \end{aligned}$$

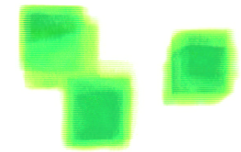
- solve for  $\vec{V}_i$

$$\vec{M} \vec{V}_i = \vec{N} V_i,$$

- update position  $X_i$ , assemble again over new interface

- solve for  $\mu K_i$

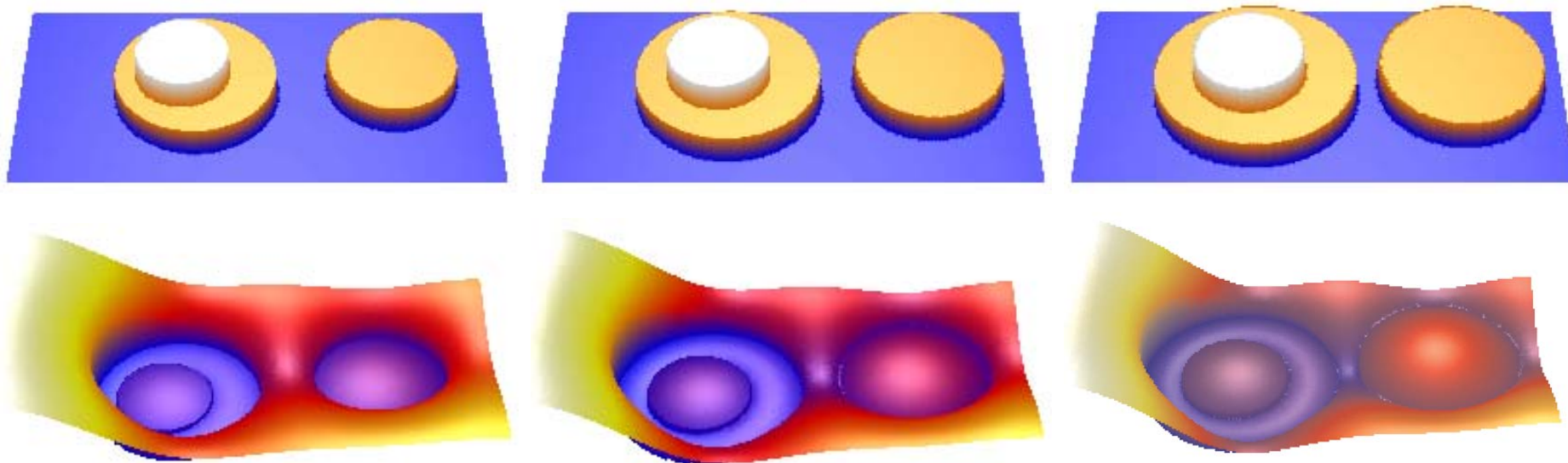
$$\mu K_i = -\mathbf{M}^{-1} \vec{N}_\mu \vec{M}^{-1} \vec{A} \vec{X}_i.$$

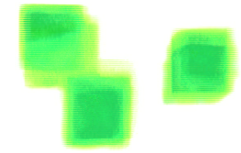


## Numerical tests for isotropic situations

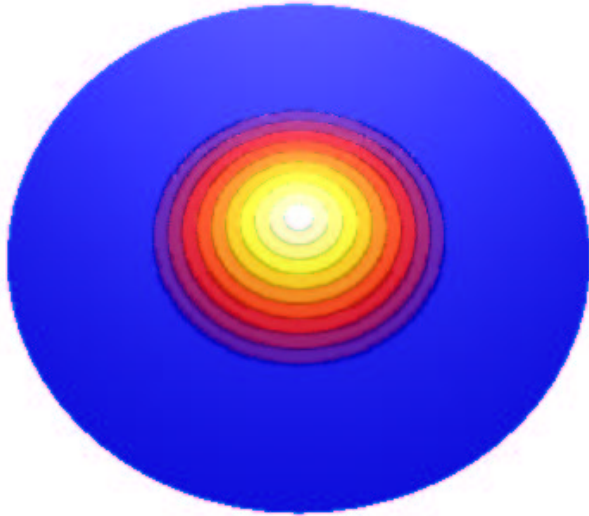
- area conservation for geometric evolution
- comparison with analytic solution for circular domain
- mass conservation

[Bänsch, Haußer, Lakkis, Li, Voigt 2004]





## Thermal decay

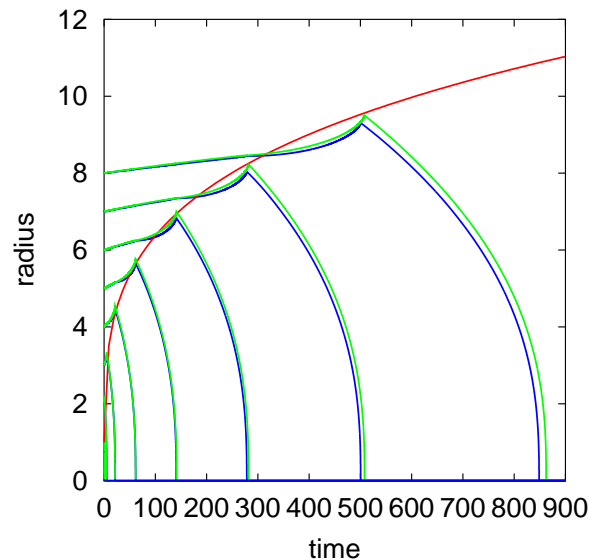


$$\rho_i''(r) + \frac{1}{r}\rho_i'(r) = 0 \quad R_{i+1}(t) < r < R_i(t)$$

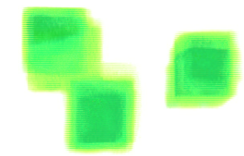
$$D\rho_i'(R_{i+1}) = k_- \left( \rho_i(R_{i+1}) - \rho^* \left( 1 + \frac{\mu}{R_{i+1}} \right) \right)$$

$$-D\rho_{i+1}'(R_{i+1}) = k_+ \left( \rho_{i+1}(R_{i+1}) - \rho^* \left( 1 + \frac{\mu}{R_{i+1}} \right) \right)$$

scaling law  $R \approx t^{1/4}$

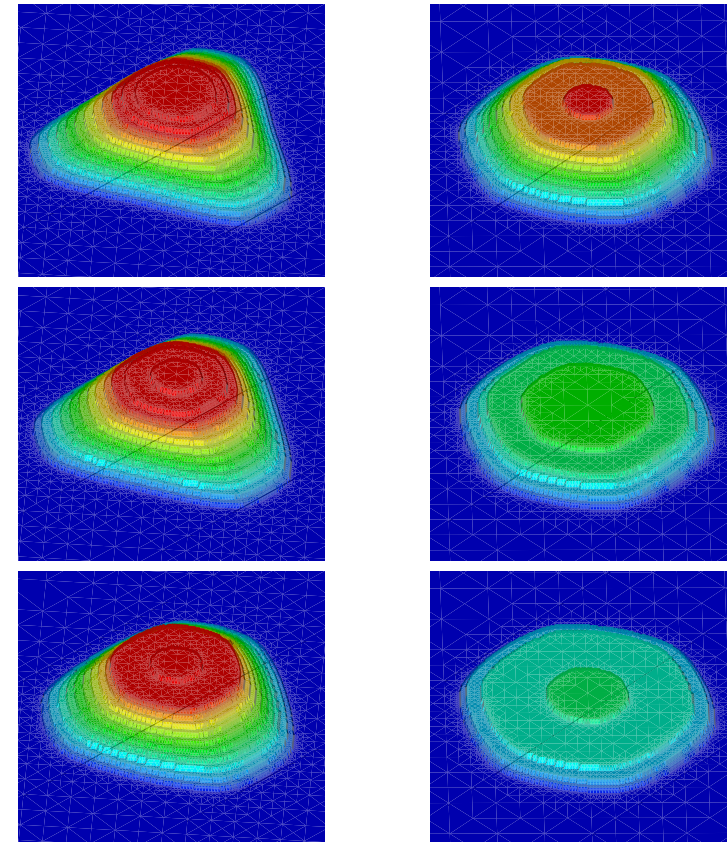
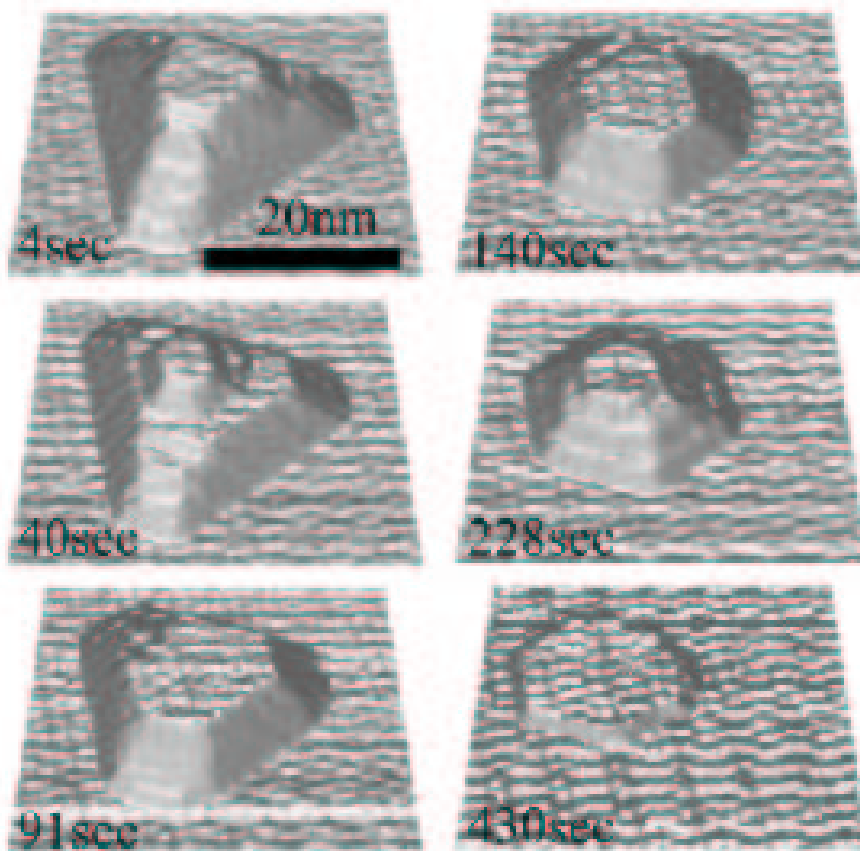


— PDE, — ODE



## Anisotropic decay of a nanomound

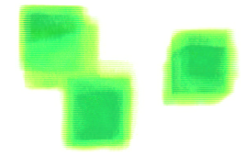
Thermal relaxation of a 12-layer mound on a substrate:  $200a \times 200a$ ,  $a = 0.25nm$ .



STM snapshots, Si(111) nanomound  
[Ichimiya et al. 2001]

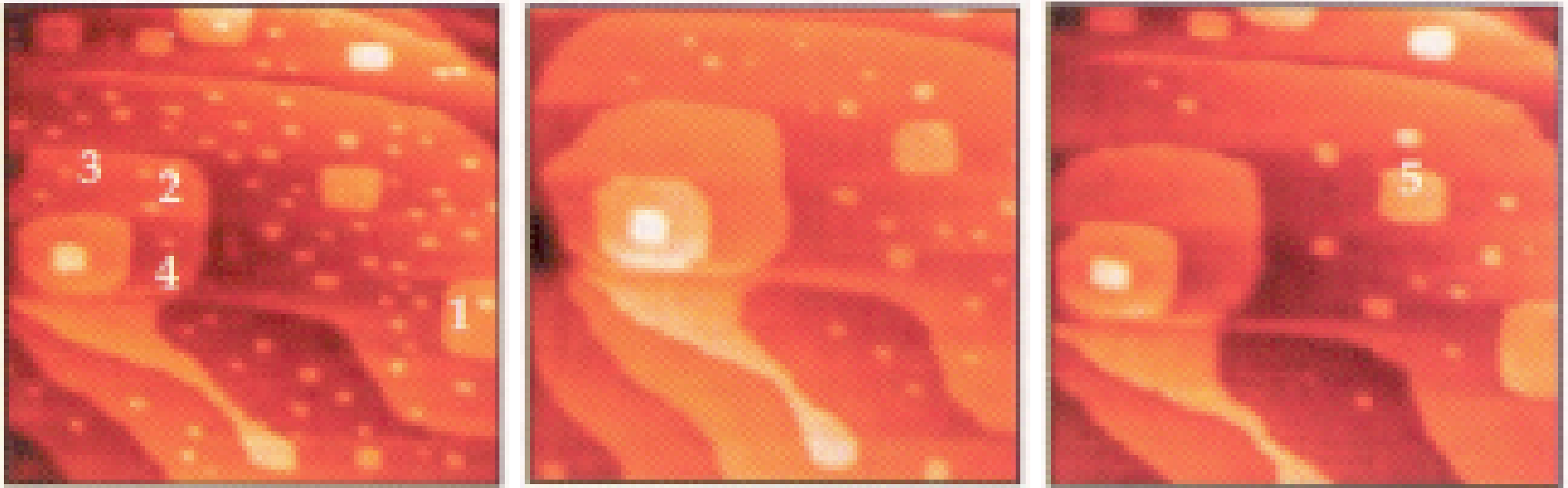
12, 27, 65, 157, 476, 1330sec  
[Haußer, Voigt 2004]





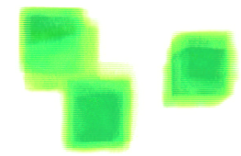
## Coarsening and Ostwald ripening, experiments

coarsening of islands; small islands shrink, large islands grow



TiN(001) during annealing [I. G. Petrov et al. 2001]





## Ostwald ripening - Mean field theory

Lifshitz, Slyozov, Wagner (LSW) reduce Mullins-Sekerka system

$$\begin{aligned}\Delta u &= 0 && \text{in } \mathbb{R}^3 \setminus \partial G \\ v &= [\nabla u \cdot \vec{n}] && \text{on } \partial G \\ u &= \kappa && \text{on } \partial G\end{aligned}$$

to equation for radius of each particle  $R_i$ .

**small volume fraction:**  $u \approx \bar{u}(t)$  away from particle, solve for isolated particle with  $u(t, \infty) = \bar{u}(t)$ .

$$\begin{aligned}\dot{R}_i &= \frac{1}{R_i^2} (R_i \bar{u}(t) - 1) \\ \bar{u}(t) &= \frac{\sum_{i; R_i > 0} 1}{\sum_{i; R_i > 0} R_i(t)}\end{aligned}$$

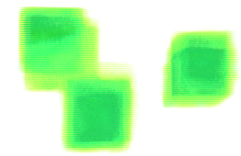
distribution of particle radii  $\nu(t, r)$

$$\int_{r_1}^{r_2} \nu(t, r) dr = \begin{array}{l} \text{number of particles} \\ \text{with radius in } (r_1, r_2) \end{array}$$

two-dimensional situation

divergence of logarithmic Green's function, introduce screening length

$$\begin{aligned}\dot{R}_i &\approx \frac{1}{\ln(\frac{1}{\phi^{1/2}})} \frac{1}{R_i^2} (R_i \bar{u}(t) - 1) \\ \bar{u}(t) &= \frac{\sum_{i; R_i > 0} \frac{1}{R_i}}{\sum_{i; R_i > 0} 1}\end{aligned}$$



## Homoepitaxial Ostwald ripening - Mean field theory

Burton-Cabrera-Frank model yields

$$\dot{R}_i \approx (\bar{\rho} - \rho^*) \frac{Dk}{D + kR_i \ln\left(\frac{1}{\phi^{1/2}}\right)}$$

$$\bar{\rho}(t) = \frac{\sum_{i:R_i>0} \frac{R_i}{D + kR_i \ln(1/\phi^{1/2})} \rho^*}{\sum_{i:R_i>0} \frac{R_i}{D + kR_i \ln(1/\phi^{1/2})}}$$

Diffusion limited  $k\bar{R} \gg D$

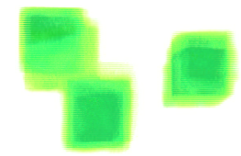
$$\dot{R}_i \approx \frac{D}{R_i \ln\left(\frac{1}{\phi^{1/2}}\right)} \left(\bar{\rho} - \frac{\nu}{R_i}\right)$$

$$\bar{\rho}(t) = \nu \frac{\sum_{i:R_i>0} \frac{1}{R_i}}{\sum_{i:R_i>0} 1} = \nu \overline{\left(\frac{1}{R}\right)}$$

Attachment limited  $k\bar{R} \ll D$

$$\dot{R}_i \approx \left(\bar{\rho} - \frac{\nu}{R_i}\right) k$$

$$\bar{\rho}(t) = \nu \frac{\sum_{i:R_i>0} 1}{\sum_{i:R_i>0} R_i} = \nu \frac{1}{\bar{R}}$$



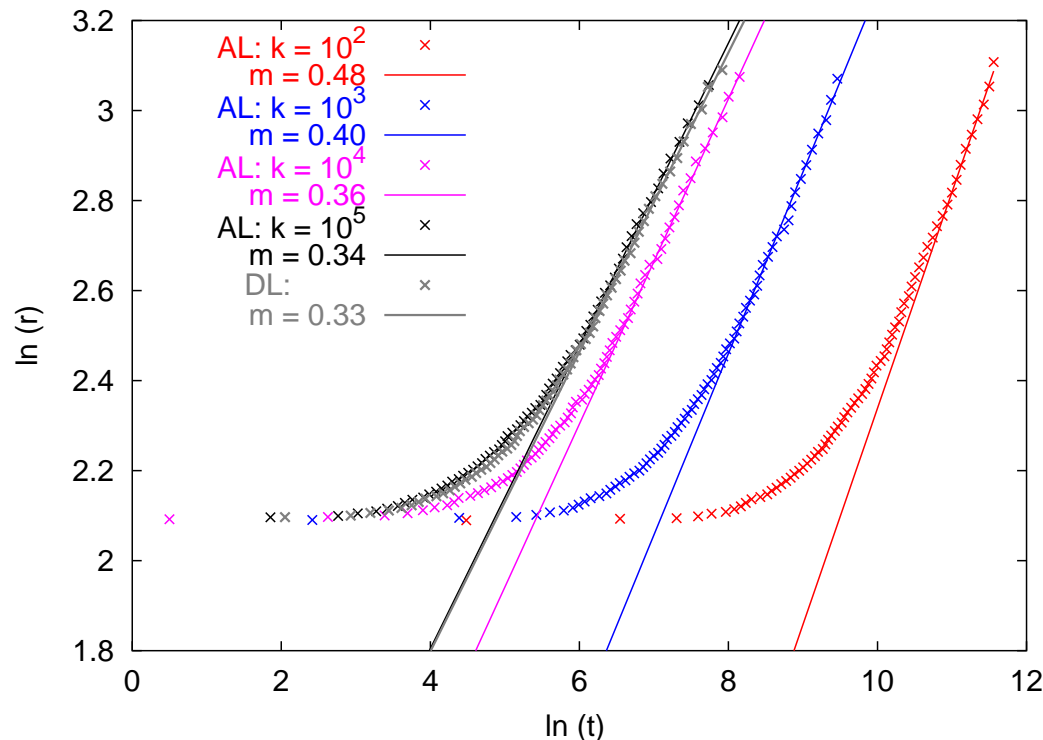
# Homoepitaxial Ostwald ripening - Mean field theory and Simulation

Diffusion limited

$$\bar{R}(t) = (\bar{R}^3 + K(\phi)t)^{\frac{1}{3}}$$

Attachment limited

$$\bar{R}(t) = (\bar{R}^2 + K(\phi)t)^{\frac{1}{2}}$$

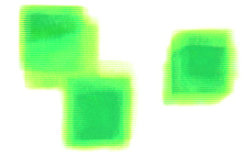


400 islands

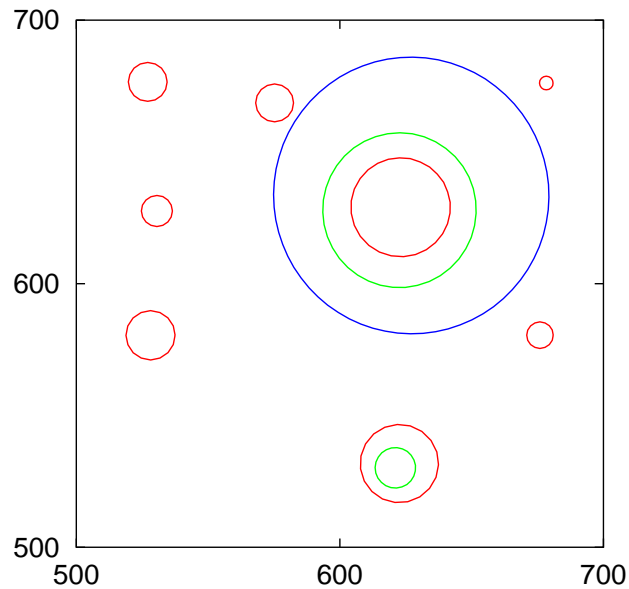
substrate  $1000 \times 1000a$

coverage 0.085

initial distribution radius and midpoints  
chosen randomly according to coverage  
zero asymptotic distribution.



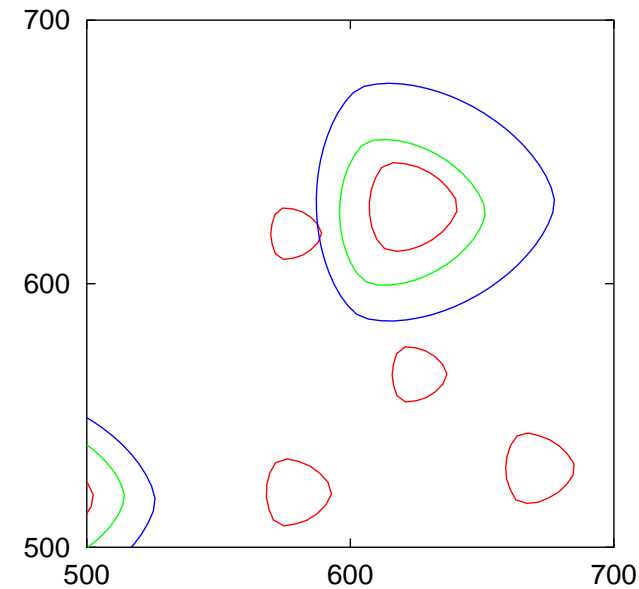
## Homoepitaxial Ostwald ripening, island motion



t = 600s  
t = 3000s



t = 15000s



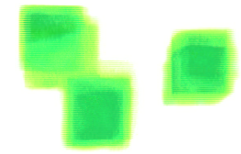
t = 600s  
t = 3000s



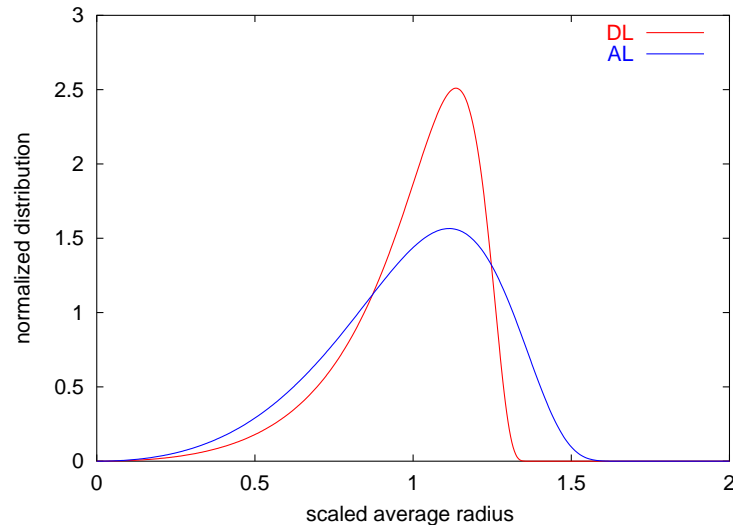
t = 15000s



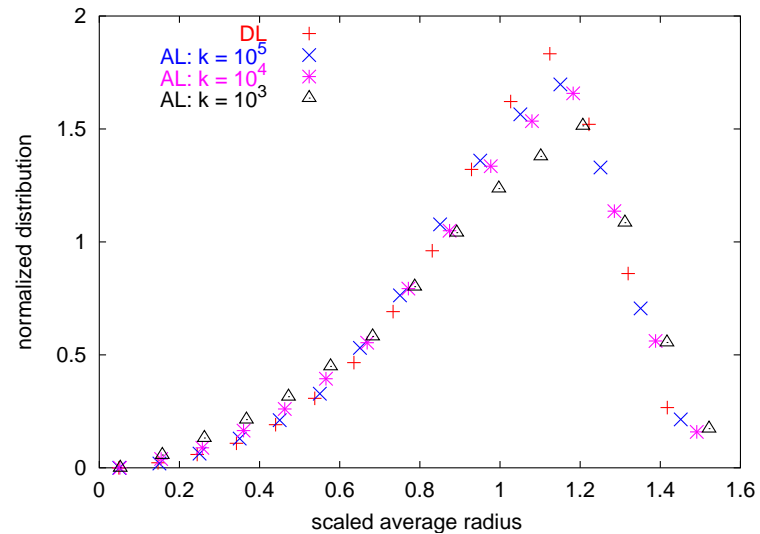
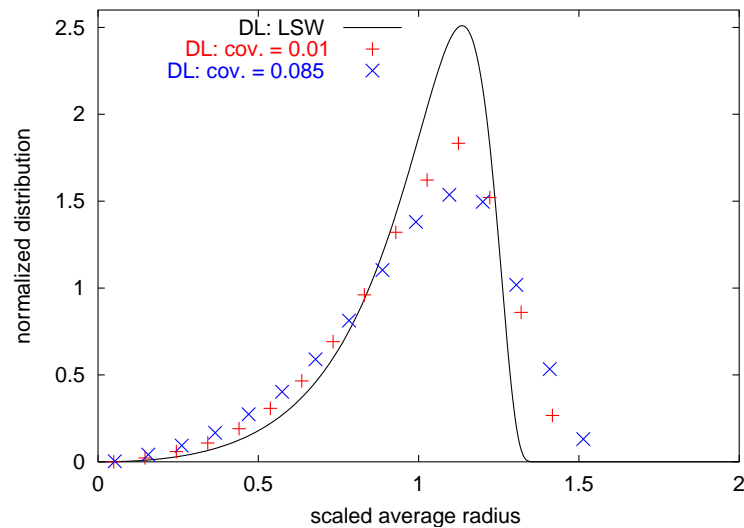
assumption of mean field theories, that the center of the islands is fixed is not satisfied



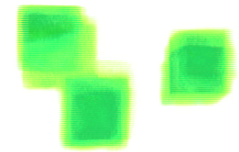
# Ostwald ripening, island size distribution



LSW island size distribution function  
diffusion limited and attachment limited







## More information

<http://www.caesar.de/cg>