

# Explicit Arithmetic of Modular Curves

## Lecture IV: Equations for Modular Curves

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## Canonical Map

$K$  field

$X$  curve of genus  $g \geq 2$

$\Omega(X)$  space of regular differentials on  $X/K$   
this is a  $K$ -vector space of dimension  $g$ .

Let  $\omega_1, \dots, \omega_g$  be a  $K$ -basis for  $\Omega(X)$ .

The **canonical map** is the map

$$\phi : X \rightarrow \mathbb{P}^{g-1}, \quad P \mapsto (\omega_1(P) : \dots : \omega_g(P)).$$

**What does this mean?** Let  $f \in K(X) \setminus K$ . Then every differential  $\omega$  can be written as  $\omega = hdf$  where  $h \in K(X)$ . So I can write  $\omega_i = h_idf$ , and then

$$\phi(P) = (h_1(P) : \dots : h_g(P)).$$

# Canonical Map for Hyperelliptic Curves

Consider a genus 2 curve

$$X : y^2 = a_6x^6 + \cdots + a_0, \quad a_i \in K, \quad \Delta(f) \neq 0.$$

A basis for  $\Omega(X)$  is

$$\omega_1 = \frac{dx}{y}, \quad \omega_2 = \frac{xdx}{y}.$$

Note that  $\omega_2/\omega_1 = x$ . Thus

$$\phi : X \rightarrow \mathbb{P}^1, \quad P \mapsto (1 : x(P)).$$

Thus  $\phi(X) = \mathbb{P}^1$ .

$\therefore \phi$  is **not** an isomorphism but is 2 to 1.

## Canonical Map for Genus 3 Hyperelliptic

$$X : y^2 = a_8x^8 + \cdots + a_0, \quad a_i \in K, \quad \Delta(f) \neq 0.$$

A basis for  $\Omega(X)$  is

$$\omega_1 = \frac{dx}{y}, \quad \omega_2 = \frac{xdx}{y}, \quad \omega_3 = \frac{x^2dx}{y}.$$

$$\phi : X \rightarrow \mathbb{P}^2, \quad \phi(x, y) = (1 : x : x^2).$$

If we choose coordinates  $(u_1 : u_2 : u_3)$  for  $\mathbb{P}^2$  then the image is the conic

$$\phi(X) = C : u_1u_3 = u_2^2 \subset \mathbb{P}^2.$$

$\therefore \phi : X \rightarrow \phi(X)$  is **not** an isomorphism but it is 2 to 1.

## General Hyperelliptic

A hyperelliptic curve of genus  $g$  can be written as

$$X : y^2 = a_{2g+2}x^{2g+2} + \cdots + a_0, \quad a_i \in K, \quad \Delta(f) \neq 0.$$

A basis for  $\Omega(X)$  is

$$\frac{dx}{y}, \frac{xdx}{y}, \dots, \frac{x^{g-1}dx}{y}.$$

Check that  $\phi : X \rightarrow \phi(X) \cong \mathbb{P}^1$  is 2 to 1.

## Theorem

Let  $X$  be a curve of genus  $\geq 2$ .

- If  $X$  is hyperelliptic then  $\phi(X) \cong \mathbb{P}^1$  and the canonical map  $\phi : X \rightarrow \phi(X)$  is 2 to 1.
- If  $X$  is non-hyperelliptic then  $\phi : X \rightarrow \mathbb{P}^{g-1}$  is an embedding (so  $X$  is isomorphic to  $\phi(X$ )). Moreover  $\phi(X)$  is a curve of degree  $2g - 2$ .

We focus on modular curves where the genus is  $\geq 2$ .

Recall the isomorphism

$$S_2(\Gamma_H) \cong \Omega(X_H), \quad f(q) \mapsto f(q) \frac{dq}{q}.$$

Let  $f_1, \dots, f_g$  be a basis for  $S_2(\Gamma_H)$ .

The canonical map is given by

$$\phi : X_H \rightarrow \mathbb{P}^{g-1}$$
$$\phi = \left( f_1(q) \frac{dq}{q} : \dots : f_g(q) \frac{dq}{q} \right) = (f_1(q) : \dots : f_g(q)).$$

## Example $X_0(30)$

A basis for  $S_2(\Gamma_0(30))$  is

$$f_1 = q - q^4 - q^6 - 2q^7 + q^9 + O(q^{10}),$$

$$f_2 = q^2 - q^4 - q^6 - q^8 + O(q^{10}),$$

$$f_3 = q^3 + q^4 - q^5 - q^6 - 2q^7 - 2q^8 + O(q^{10}).$$

$\therefore X = X_0(30)$  has genus 3.

By theorem,

- either  $X$  is hyperelliptic;
- or  $X \cong \phi(X)$  is a curve in  $\mathbb{P}^{g-1} = \mathbb{P}^2$  which has degree  $2g - 2 = 4$ ;  
i.e.  $\phi(X)$  is a plane quartic curve.

**Which is it?**

If  $X$  is hyperelliptic then  $\phi(X)$  is a conic.

(Note in this case that  $f_1(q)dq/q, \dots, f_3(q)dq/q$  and  $dx/y, xdx/y, x^2dx/y$  don't have to be the same basis for  $\Omega(X)$ . The two bases are related by a linear transformation. So  $\phi(X)$  might be a different conic than before.)

$\phi(X) = \text{conic}$  iff  $\exists a_1, \dots, a_6$  (not all zero) such that

$$a_1 f_1^2 + a_2 f_2^2 + a_3 f_3^2 + a_4 f_1 f_2 + a_5 f_1 f_3 + a_6 f_2 f_3 = 0.$$

$$f_1^2 = q^2 - 2q^5 - 2q^7 - 3q^8 + 4q^{10} + O(q^{11})$$

$$f_2^2 = q^4 - 2q^6 - q^8 + O(q^{12})$$

$$f_3^2 = q^6 + 2q^7 - q^8 - 4q^9 - 5q^{10} - 6q^{11} + q^{12} + O(q^{13})$$

$$f_1 f_2 = q^3 - q^5 - q^6 - q^7 - 3q^9 + 2q^{10} + O(q^{11})$$

$$f_1 f_3 = q^4 + q^5 - q^6 - 2q^7 - 3q^8 - 2q^9 - 2q^{10} + O(q^{11})$$

$$f_2 f_3 = q^5 + q^6 - 2q^7 - 2q^8 - 2q^9 - 2q^{10} + 2q^{11} + O(q^{12}).$$



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$$f_2 f_3 = q^5 + q^6 - 2q^7 - 2q^8 - 2q^9 - 2q^{10} + 2q^{11} + O(q^{12}).$$

- Coefficient of  $q^2 \implies a_1 = 0$ .
- Coefficient of  $q^3 \implies a_4 = 0$ .
- Coefficient of  $q^4, q^5, q^6$  give

$$a_2 + a_5 = 0, \quad a_5 + a_6 = 0, \quad -2a_2 + a_3 - a_5 + a_6 = 0$$

There is only one solution (up to scaling) which is

$$a_2 = 1, \quad a_3 = 0, \quad a_5 = -1, \quad a_6 = 1.$$

$$\therefore f_2^2 - f_1 f_3 + f_2 f_3 = 0 + O(q^7).$$

In fact we can check that

$$f_2^2 - f_1 f_3 + f_2 f_3 = 0 + O(q^{100}).$$

**Question.** Do we know that  $f_2^2 - f_1 f_3 + f_2 f_3 = 0$  exactly? **If so** then the image is the conic

$$u_2^2 - u_1 u_3 + u_2 u_3 = 0 \quad \subset \mathbb{P}^2,$$

and  $X$  is hyperelliptic.

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### Theorem (Sturm)

*Let  $\Gamma$  be a congruence subgroup of  $SL_2(\mathbb{Z})$  of index  $m$ . Let  $f \in S_k(\Gamma)$  and suppose  $\text{ord}_q(f) > km/12$ . Then  $f = 0$ .*

## Theorem (Sturm)

Let  $\Gamma$  be a congruence subgroup of  $SL_2(\mathbb{Z})$  of index  $m$ . Let  $f \in S_k(\Gamma)$  and suppose  $\text{ord}_q(f) > km/12$ . Then  $f = 0$ .

Let  $f = f_2^2 - f_1 f_3 + f_2 f_3$ .

$f_1, f_2, f_3$  are cusp forms for  $\Gamma_0(30)$  of weight 2.

$\therefore f$  is a cusp form for  $\Gamma_0(30)$  of weight  $k = 4$ .

$$[SL_2(\mathbb{Z}) : \Gamma_0(N)] = N \prod_{p|N} (1 + 1/p).$$

$$N = 30 \implies m = 30(1 + 1/2)(1 + 1/3)(1 + 1/5) = 72 \implies \frac{km}{12} = 36.$$

Since  $\text{ord}_q(f) \geq 100$  we know from Sturm that  $f = 0$ .

$\therefore X_0(30)$  is hyperelliptic.

## $X_0(45)$

Repeat  $X_0(45)$ . A basis for  $S_2(\Gamma_0(45))$  is

$$\begin{aligned}g_1 &= q - q^4 + O(q^{10}), \\g_2 &= q^2 - q^5 - 3q^8 + O(q^{10}), \\g_3 &= q^3 - q^6 - q^9 + O(q^{10}).\end{aligned}$$

$\therefore X_0(45)$  has genus 3. **Is it hyperelliptic?** i.e. **Is the canonical image a conic?** Again we look for  $a_1, \dots, a_6$  such that

$$a_1g_1^2 + a_2g_2^2 + a_3g_3^2 + a_4g_1g_2 + a_5g_1g_3 + a_6g_2g_3 = 0.$$

By solving the resulting system of linear equations from the coefficients of  $q^2, \dots, q^{10}$  we find that all the  $a_i = 0$ .

$\therefore$  image is not a conic.

$\therefore$  image is a plane quartic.

## Write down an equation for this plane quartic!

- Look at all 10 monomials of degree 4 in  $g_1, g_2, g_3$ .
- Want a linear combination which is 0.
- By solving the system resulting from the coefficients of  $q^j$  up to  $q^{20}$  we find a unique solution (up to scaling).

This unique solution gives us our degree 4 model:

$$X_0(45) : x_0^3 x_2 - x_0^2 x_1^2 + x_0 x_1 x_2^2 - x_1^3 x_2 - 5x_2^4 \subset \mathbb{P}^2.$$

## Did we need to check up to the Sturm bound? Not this time!

- Already proved that  $X_0(45)$  is not hyperelliptic.
- So we know that the canonical image is a quartic.
- We solved for this quartic and found only one solution.
- So that must be the correct quartic.

## Return to $X_0(30)$

Know this is hyperelliptic and so has a model

$$y^2 = h(x), \quad h = a_8x^8 + \cdots + a_0.$$

The model is **not** unique. If  $(u, v)$  is any point on this model, we then we can change the model to move this point to infinity:

$$x' = \frac{1}{x - u}, \quad y' = \frac{y}{(x - u)^4}.$$

The new model has the form

$$y'^2 = v^2x'^8 + \cdots.$$

If  $v = 0$  (i.e. the original point was a Weierstrass point) then we would end up with  $y'^2 = \text{degree } 7$  but otherwise it is  $y'^2 = \text{degree } 8$ .

Now the infinity cusp  $c_\infty$  is a point on  $X_0(30)$ . Let's move  $c_\infty$  to infinity on the hyperelliptic model. **Question: Do we obtain a degree 7 model or a degree 8 model?**

### Exercise.

(i) Let

$$X : y^2 = a_{2g+2}x^{2g+2} + \cdots + a_0$$

be a curve of genus  $g$  where  $a_{2g+2} \neq 0$ . Let  $\infty_+$  be one of the two points at infinity. Show that

$$\text{ord}_{\infty_+} \left( \frac{dx}{y} \right) = g - 1, \quad \text{ord}_{\infty_+} \left( \frac{xdx}{y} \right) = g - 2, \dots,$$

(ii) Let

$$X : y^2 = a_{2g+1}x^{2g+1} + \cdots + a_0$$

be a curve of genus  $g$  (here necessarily  $a_{2g+1} \neq 0$  otherwise the genus would be smaller than  $g$ ). Let  $\infty$  be the unique point at infinity. Show that

$$\text{ord}_{\infty} \left( \frac{dx}{y} \right) = 2(g - 1), \quad \text{ord}_{\infty} \left( \frac{xdx}{y} \right) = 2(g - 2), \dots,$$



Recall that basis for  $S_2(\Gamma_0(30))$  is

$$f_1 = q - q^4 - q^6 - 2q^7 + q^9 + O(q^{10}),$$

$$f_2 = q^2 - q^4 - q^6 - q^8 + O(q^{10}),$$

$$f_3 = q^3 + q^4 - q^5 - q^6 - 2q^7 - 2q^8 + O(q^{10}).$$

$$\text{ord}_{c_\infty} \left( f_1(q) \frac{dq}{q} \right) = 0, \quad \text{ord}_{c_\infty} \left( f_2(q) \frac{dq}{q} \right) = 1, \quad \text{ord}_{c_\infty} \left( f_3(q) \frac{dq}{q} \right) = 2.$$

$$\therefore \text{ord}_{c_\infty}(\omega) \leq 2, \quad \forall \omega \in \Omega(X) \setminus \{0\}.$$

But if  $c_\infty = \infty$  on  $y^2 = \text{degree 7 model}$ , then there is some  $\omega$  with  $\text{ord}_{c_\infty}(\omega) = 4$ .

$\therefore$  When we move  $c_\infty$  to  $\infty$  we get a  $y^2 = \text{degree 8 model}$ .

Can suppose

$$X : y^2 = a_8x^8 + a_7x^7 + \cdots + a_0, \quad a_8 \neq 0, \quad c_\infty = \infty_+.$$

$$\text{ord}_{c_\infty} \left( f_1(q) \frac{dq}{q} \right) = 0, \quad \text{ord}_{c_\infty} \left( f_2(q) \frac{dq}{q} \right) = 1, \quad \text{ord}_{c_\infty} \left( f_3(q) \frac{dq}{q} \right) = 2.$$

$$\text{ord}_{\infty_+} \left( \frac{dx}{y} \right) = 2, \quad \text{ord}_{\infty_+} \left( x \frac{dx}{y} \right) = 1, \quad \text{ord}_{\infty_+} \left( x^2 \frac{dx}{y} \right) = 0.$$

From the valuations

$$\begin{aligned} \frac{dx}{y} &= \alpha_3 \cdot f_3(q) \frac{dq}{q}, \\ \frac{xdx}{y} &= \beta_2 \frac{f_2(q) dq}{q} + \beta_3 \frac{f_3(q) dq}{q}, \\ \frac{x^2 dx}{y} &= \gamma_1 \frac{f_1(q) dq}{q} + \gamma_2 \frac{f_2(q) dq}{q} + \gamma_3 \frac{f_3(q) dq}{q}, \end{aligned}$$

where  $\alpha_3$ ,  $\beta_2$  and  $\gamma_1 \neq 0$ .

$$X : y^2 = a_8x^8 + a_7x^7 + \cdots + a_0, \quad a_8 \neq 0, \quad c_\infty = \infty_+.$$

$$\begin{aligned} \frac{dx}{y} &= \alpha_3 \cdot f_3(q) \frac{dq}{q}, \\ \frac{xdx}{y} &= \beta_2 \frac{f_2(q) dq}{q} + \beta_3 \frac{f_3(q) dq}{q}, \\ \frac{x^2 dx}{y} &= \gamma_1 \frac{f_1(q) dq}{q} + \gamma_2 \frac{f_2(q) dq}{q} + \gamma_3 \frac{f_3(q) dq}{q}, \end{aligned}$$

The change of hyperelliptic model

$$x \mapsto rx, \quad y \mapsto sy$$

preserve points at infinity but has the effect

$$\frac{dx}{y} \mapsto (r/s) \frac{dx}{y}, \quad \frac{xdx}{y} \mapsto (r^2/s) \frac{xdx}{y}, \quad \dots$$

Thus we can make  $\alpha_3 = 1$  and  $\beta_2 = 1$ .

$$X : y^2 = a_8x^8 + a_7x^7 + \cdots + a_0, \quad a_8 \neq 0, \quad c_\infty = \infty_+.$$

$$\frac{dx}{y} = f_3(q) \frac{dq}{q},$$

$$\frac{xdx}{y} = \frac{f_2(q)dq}{q} + \beta_3 \frac{f_3(q)dq}{q},$$

$$\frac{x^2dx}{y} = \gamma_1 \frac{f_1(q)dq}{q} + \gamma_2 \frac{f_2(q)dq}{q} + \gamma_3 \frac{f_3(q)dq}{q},$$

The change of model

$$x \mapsto x + t, \quad y \mapsto y.$$

preserves the points at infinity and has the effect

$$\frac{dx}{y} \mapsto \frac{dx}{y}, \quad \frac{xdx}{y} \mapsto \frac{xdx}{y} + t \frac{dx}{y}.$$

So we can suppose  $\beta_3 = 0$ . i.e.

$$\frac{dx}{y} = f_3(q) \frac{dq}{q}, \quad \frac{xdx}{y} = f_2(q) \frac{dq}{q}.$$

$$X : y^2 = a_8x^8 + a_7x^7 + \cdots + a_0, \quad a_8 \neq 0, \quad c_\infty = \infty_+.$$

$$\frac{dx}{y} = f_3(q) \frac{dq}{q}, \quad \frac{x dx}{y} = f_2(q) \frac{dq}{q}.$$

$$x = f_2(q)/f_3(q) = \frac{1}{q} - 1 + q - q^2 + 2q^3 - 2q^4 + 2q^5 - 3q^6 + 5q^7 - 5q^8 + 5q^9 + \cdots.$$

$$y = \frac{dx}{dq} \cdot \frac{q}{f_3(q)} = -\frac{1}{q^4} + \frac{1}{q^3} - \frac{1}{q^2} - \frac{1}{q} + 5 - 15q + 29q^2 - 60q^3 + 118q^4 - 210q^5 + \\ 346q^6 - 573q^7 + 929q^8 - 1454q^9 + \cdots.$$

By comparing the coefficients of  $q^{-8}$  on both sides we see that  $a_8 = 1$ .

$$X : y^2 = x^8 + a_7x^7 + \cdots + a_0, \quad c_\infty = \infty_+.$$

$$x = \frac{1}{q} - 1 + q - q^2 + 2q^3 - 2q^4 + 2q^5 - 3q^6 + 5q^7 - 5q^8 + 5q^9 + \cdots.$$

$$y^2 - x^8 = \frac{6}{q^7} - \frac{33}{q^6} + \cdots$$

so  $a_7 = 6$ . Also

$$y^2 - x^8 - 6x^7 = \frac{9}{q^6} - \frac{48}{q^5} + \cdots$$

so  $a_6 = 9$ . Continuing in this fashion we arrive at

$$y^2 - x^8 - 6x^7 - 9x^6 - 6x^5 + 4x^4 + 6x^3 - 9x^2 + 6x - 1 = O(q^{100}).$$

Therefore, a model for  $X_0(30)$  is

$$X_0(30) : y^2 = x^8 + 6x^7 + 9x^6 + 6x^5 - 4x^4 - 6x^3 + 9x^2 - 6x + 1.$$